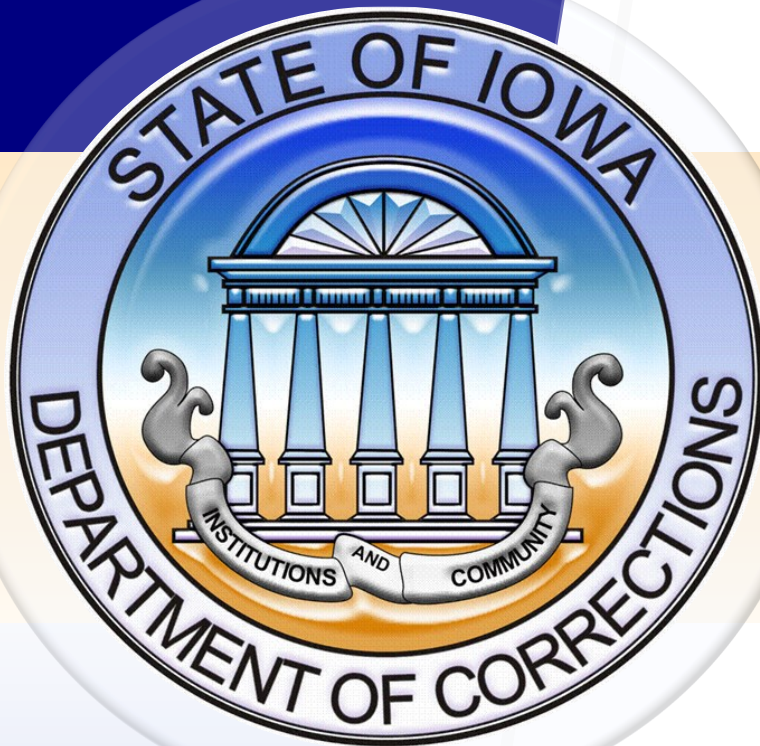


**Iowa**

**Department of  
Corrections**



**FY2019  
Annual Report**

Offender Success is our goal

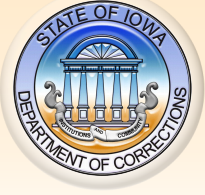
Reentry is our process

Evidence Based Practices are our tools

Staff is our most important asset

The seal of the State of Iowa Department of Corrections is a large, circular emblem. It features a central illustration of a classical building with columns, set against a blue sky with a sunburst. The words "STATE OF IOWA" are arched across the top, and "DEPARTMENT OF CORRECTIONS" is arched across the bottom. A banner at the bottom reads "INSTITUTIONS AND COMMUNITY".

|   |           |
|---|-----------|
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## Message from Director Beth Skinner, PhD



When I was appointed by Governor Reynolds to take on the responsibility of leading Iowa Corrections, it was the single greatest honor of my life. Since starting in my new role, I've had the ability to travel around the state to meet the people that make up our team, whether they are in the institutions or the community. Having had the chance to meet with hundreds of them, I can say with great confidence that this is an amazing department and is made of some of the strongest professionals in the country.

Working in the prison system is not an ordinary 8 to 5 job. It's all-hours of every day. It's working on the holidays and missing time with family in order to be on your post. It can be stressful and challenging in a wide range of ways. And at the same time, it can be some of the most meaningful work a person can ask for. In Iowa Corrections, we're in the work of keeping people safe, helping take care of each other, and changing people's lives for the better.

I've had the privilege of looking both our most experienced, as well as our youngest officers, in the eye before they go on their shift. I've been able to say to them, as their director, that I appreciate what they do and that I want them to have a safe shift. And to those officers, counselors, nurses, and other support staff that I've been able to meet- I meant what I said every time. Because in our line of work, knowing that your leader sees you, cares about you, and wants to support you is extremely important.

When we look back at the last several years' worth of statistics and data, there are some good things, but there is room for improvement. This is a good thing, because this improvement is a challenge, and in Iowa Corrections, we welcome challenge. We are up to the task.

Over the next year, you're going to see a department that is more focused, rehabilitative, and confident than you've ever seen before. We're training our team, and when we have openings, we're hiring the right people to fill the ranks. This department, and the selfless men and women that work within it, will show this country what good corrections looks like- of that I am sure.

While I am confident in our future, I'm realistic that we may face challenges. We will have hard days. But we'll come together. We'll work to overcome our challenges. We will not be stopped from achieving our goals as a team.

I thank the Governor and the citizens of this state for allowing me to lead this team. And I thank the brave men and women that work in Iowa Corrections for what they're doing to help make Iowa a safer place to live, work, and raise a family. These people are the Iowa Department of Corrections.

Thank you,

A handwritten signature in blue ink, appearing to read "B/S".

Beth Skinner, Director



## Message from The Board of Corrections



(L to R) : Mark DeJong, Dr. Lisa Hill, Richard LaMere - (Chair), Rebecca Williams - (Vice Chair), Larry Kudej, and Webster Kranto. Absent: Dr. Mary Chapman

As part of our duty as members of the Board of Corrections, we aim to keep our finger on the pulse of what is happening in corrections across the state of Iowa. To accomplish this, we not only hold monthly meetings, but also try to hold these meetings across the state. This is beneficial for two primary reasons: it allows us to see different parts of the corrections system first-hand, and meet the staff that work across the system, it also allows citizens from across the state to learn with us, and voice any questions or concerns they may have related to corrections.

As we traveled the state this last year, one thing has remained abundantly clear to our Board: the men and women that work in Iowa Corrections are among the most professional and hardworking in the nation. Iowa is fortunate to have a system made up of the people that work in this challenging field day after day.

We have been to Clarinda where we learned about the unique bee-keeping and horticulture job-training programs. We've been to Waterloo where we learned about their swift-certain-and-fair pilot programs for high-risk clients. We've been to Mount Pleasant where we viewed a cutting-edge welding simulator in action. We've been to the Iowa Prison Industries headquarters where we heard from incarcerated women about how valuable the skills they've learned are to their future success after incarceration. And in-between, we've heard and learned so much more.



## Message from the Board of Corrections, continued

What is clear to us, as a citizen board, is that this department is committed to trying anything and everything that works to give justice-involved individuals the maximum likelihood of success after they are released from prison. The leadership of the department is strong, but always open, honest and accountable to us and other stakeholders. The staff that work in both the institutions and the community are focused, efficient, and hardworking. And while there are some areas the department is striving for improvement, we are confident that improvements will be made under the leadership of the new Director, Beth Skinner.

This last year, two long-serving Board members stepped down from their posts, and their presence at our meetings will be irreplaceable. At the same time, we've added two new board members who have taken on their duties in stride, and have already shown a commitment to help us in fulfilling the duties of this board, and beyond.

We thank the Governor for her continued leadership and commitment to this vitally important agency, the legislature for their willingness to learn about the many things that Corrections does so that they can support the agency in its mission, and the taxpayers of Iowa for trusting Iowa Corrections with its important role in state government.

We understand there may be challenges ahead, but the Iowa Board of Corrections is optimistic about the outlook for Iowa Corrections.

Richard LaMere  
Chair

Rebecca Williams  
Vice-Chair

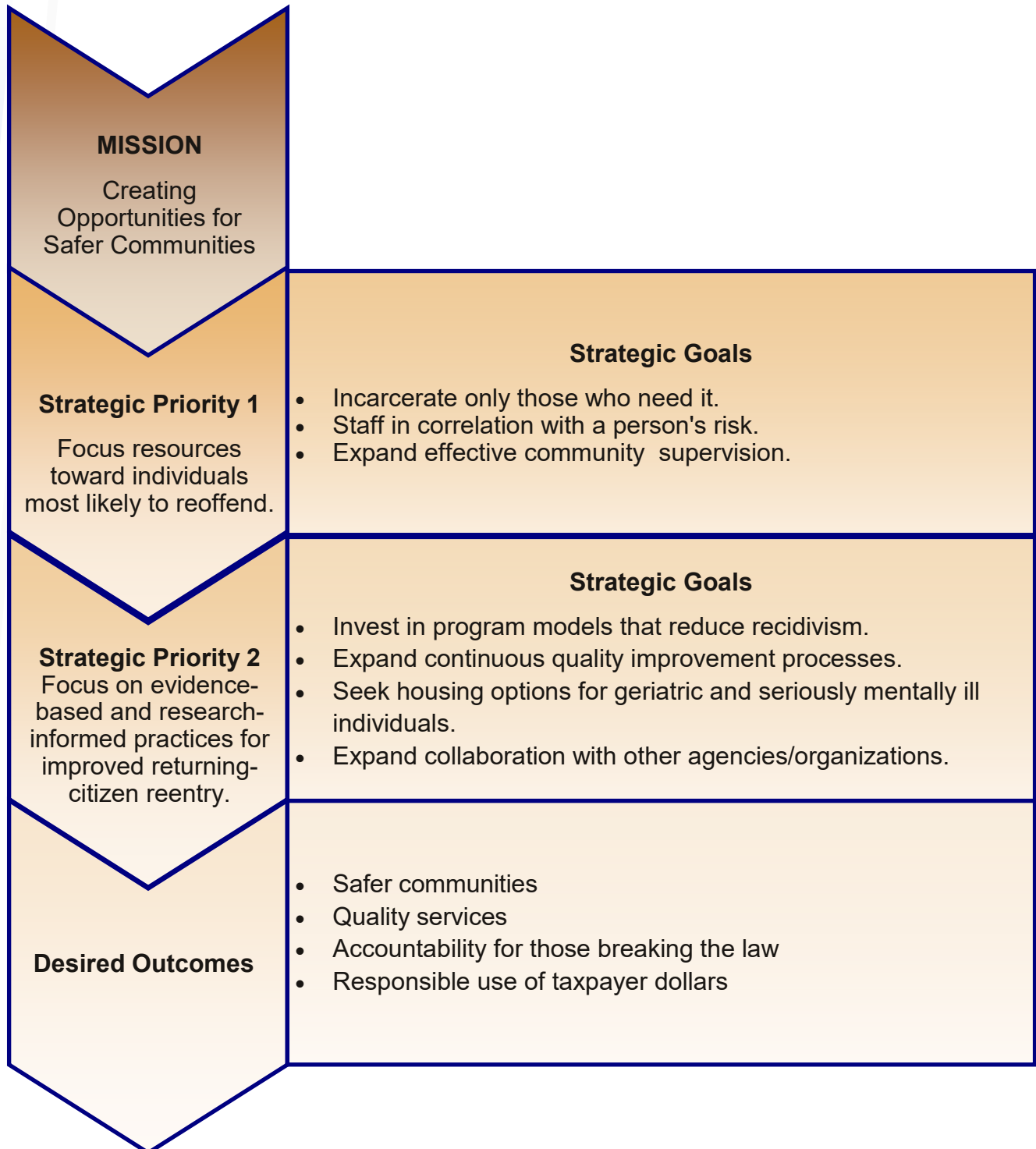
### 2019 Board of Corrections Meeting Calendar

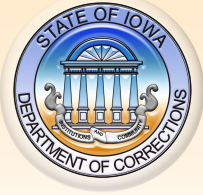
|   |  |   |
|---|--|---|
| <b>January 4, 2019</b><br>Des Moines, Central Office<br>Des Moines, IA            | <b>February 7, 2019</b><br>Legislative Breakfast<br>Des Moines, IA             | <b>March 1, 2019</b><br>5th Judicial District<br>Des Moines, IA               |
| <b>April 5, 2019</b><br>Des Moines, Central Office<br>Des Moines, IA              | <b>May 3, 2019</b><br>Iowa Medical and Classification Center<br>Coralville, IA | <b>June 7, 2019</b><br>Mt. Pleasant Correctional Facility<br>Mt. Pleasant, IA |
| <b>July 12, 2019</b><br>1st Judicial District<br>Waterloo, Iowa                   | <b>August 2, 2019</b><br>Clarinda Correctional Facility<br>Clarinda, IA        | <b>September 6, 2019</b><br>7th Judicial District<br>Davenport, IA            |
| <b>October 4, 2019 (CANCELLED)</b><br>4th Judicial District<br>Council Bluffs, IA | <b>November 1, 2019</b><br>Iowa Prison Industries Showroom<br>Des Moines, IA   | <b>December</b><br>No Meeting   |



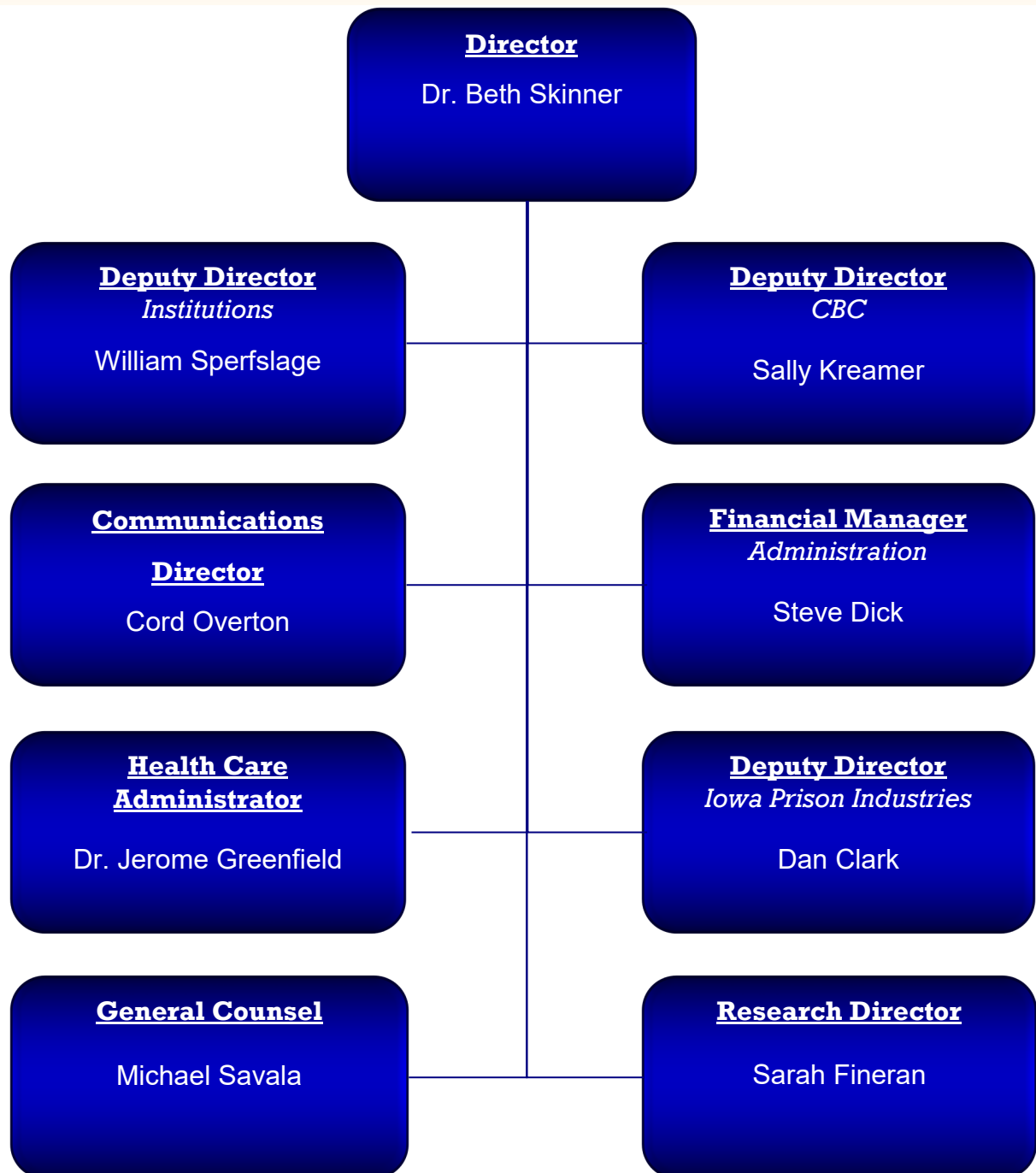
## Agency Overview

### Strategic Plan At-A-Glance

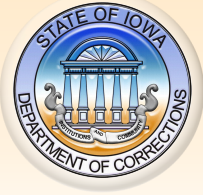




## Iowa Department of Corrections—Central Office







## Agency Accomplishments

### The Iowa DOC's Office of Victim & Restorative Justice Programs (OVRJP)

Submitted by Mary P. Roche, Director of OVRJP

The OVRJP's mission is to provide information, assistance and support to crime victims, staff and other concerned parties administered with respect, compassion and sensitivity. The following highlights some of the services provided to meet this mission:

#### Victim Offender Intervention Services

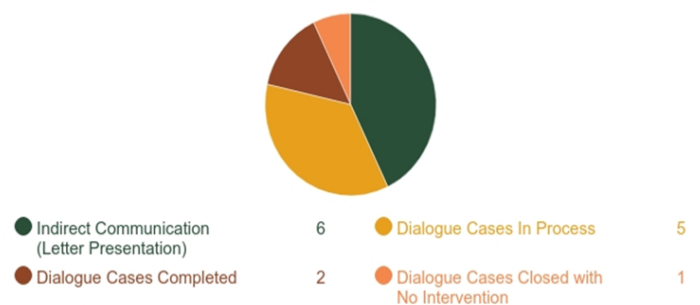
VOIS provides victims with opportunities to communicate with an incarcerated individual, probationer or parolee – either directly or indirectly. FY2019 served 14 cases.

#### Additional Services to Victims:

*Offender Apology Letter Bank*

*Victim Input Statement for Reentry*

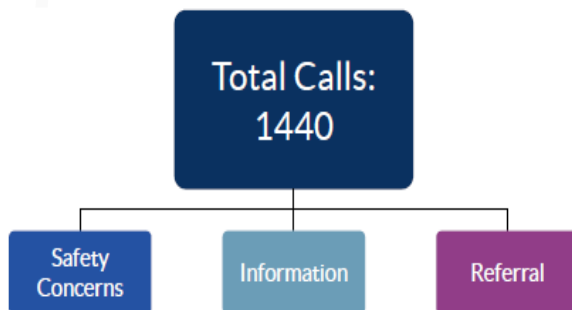
VOIS Cases  
Direct & Indirect Communication



**Over 10,000 victims are registered with the DOC and BOP. This year, 1,640 new registrations were received.**

**Victim Wrap Around (VWA)** is a safety program utilized when an incarcerated individual/parolee poses a threat to the safety of one or more persons. In FY 2019, three VWA's were held and included **15 victims**, along with law enforcement, county attorney's offices, local victim advocates and DOC/CBC personnel.

#### Victims served via the OVRJP 800 phone line:



#### Staff Victimization and Support Services (SVSS)

SVSS is a peer support program with teams in all DOC Institutions and two active CBC's. They provide support to staff affected by personal or professional crises. This fiscal year, 21 new staff were trained with another training scheduled for July, 2019.

The OVRJP provided 18 trainings to various prison, CBC, community and government agencies and institutions in FY2019. This includes two presentations at the National Association of Victim Assistance in Corrections (**NAVAC**) conference in Baton Rouge, LA.

The OVRJP was awarded a VOCA grant through the Iowa Attorney General's Office/Crime Victim Assistance Division. This grant will support a new Victim Services Specialist position and will improve victim services through the OVRJP.





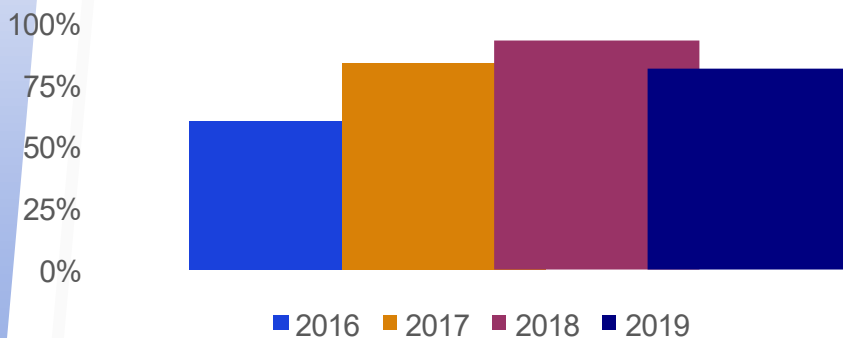
## Agency Accomplishments



### CQI Risk Assessments

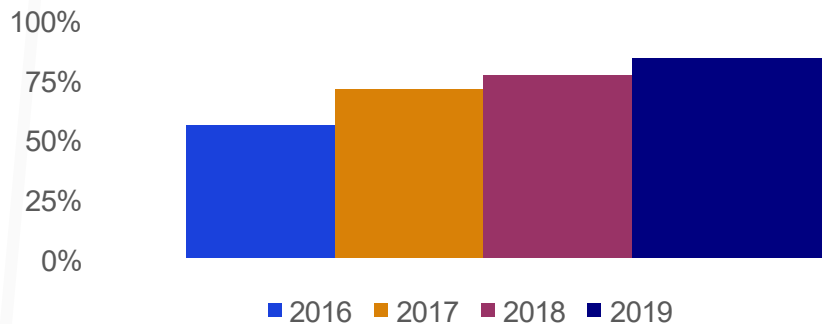
The IDOC is committed to providing continuous quality improvement (CQI) around risk assessments. The Iowa Violence and Victimization Instrument (IVVI) is the risk tool utilized within the institutions and the Iowa Risk Assessment Revised (IRR) is utilized within community based corrections. IDOC is committed to adhering to the RNR principles of effective intervention by ensuring we utilize validated risk tools as well provide ongoing training and CQI around these assessments.

Risk Assessment Proficiency Institutions

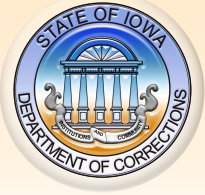


From 2016 statewide proficiency has increased tremendously on the IVVI rising from a statewide proficiency of 60% in 2016 to a current statewide proficiency of 81.49%.

Risk Assessment Proficiency CBC



Overall statewide proficiency on the IRR has increased from 51.13% in 2016 to a current statewide proficiency of 83.89%. The IDOC is committed to increasing proficiency and continuing to build a bench of CQI staff across all risk tools statewide.



## Agency Accomplishments

### Returning Citizen Reentry Simulation

The Iowa DOC has been involved with the Returning Citizen Reentry Simulation, which was developed by the United States Attorney's office and provided by Central Iowa Works. The simulation is designed to allow participants to gain an understanding of the obstacles individuals face upon release from a term of incarceration. By "living the life" of someone released from prison, participants experience first-hand the barriers and challenges encountered by returning citizens on a daily basis.

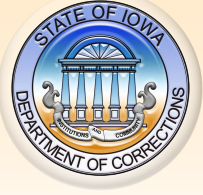
During the two hour simulation, participants are assigned the identity of a fictional offender and navigate through a series of events during a month-in-the-life in an effort to successfully reintegrate into the community. They must also meet requirements that are often part of supervision. Each "week" lasts 15 minutes with "transportation tickets" required to move from station to station to overcome obstacles like obtaining identification cards, homelessness, lack of transportation, limited money, paying required court costs, visiting parole officers, seeking employment and applying for assistance when all resources have been exhausted. Participants receive an X for every task not completed within the 15-minute period. Five Xs and you go to court or possibly jail. The simulation lasts between 60 and 90 minutes with approximately 20 to 55 participants.

Next participants complete Partnering for Lasting Change, a training for social workers, DOC staff, judges and attorneys who practice in child welfare and the criminal justice systems. This is a four-hour multidisciplinary training on how to utilize the resources available in each system along with resources developed by the Drake's Incarcerated Parent Representation Program to maximize opportunities for mutual clients to obtain lasting change.

Approximately 605 people have attended these simulations, including DHS, DOC/DCS, attorneys, judges, legislators and others. Feedback has been positive. Additional Reentry Simulations are scheduled for FY2020.







## Agency Accomplishments

# Apprenticeship Program



## 24 Registered Occupations

Baker  
Cabinet Maker  
Carpenter  
Computer Operator  
Cook  
Electrician  
Housekeeper  
IT Specialist  
Job Printer  
Landscape Management Tech  
Maintenance Repairer  
Material Coordinator

Metal Fabricator  
Office Manager / Admin Services  
Painter  
Peer Specialist  
Plumber  
Powder Coating Tech  
Refrigeration, Air Conditioning Mechanic  
Screen Printer  
Sewing Machine Operator  
Sewing Machine Repairer  
Upholsterer  
Welder

## 328

Active Apprentices

## 60

Completed  
Apprentices

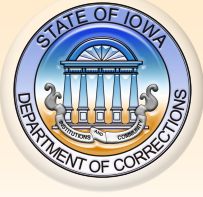
## Future Programs

- Nurse Assistant  
- Construction Laborer

## Future Goals

Continue to partner and  
collaborate with  
employers

Add new programs to  
provide more training and  
work opportunities for  
incarcerated individuals

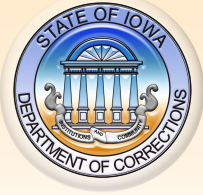


## Agency Accomplishments

### Interstate Compact

In FY2019, Iowa was in compliance with the threshold standards imposed by the Interstate Commission of the Adult Offender Supervision, scoring above the national average on all but one parameter.





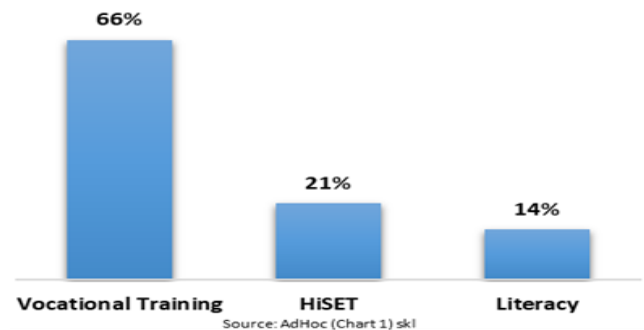
## Agency Accomplishments

### Iowa Corrections—Educational Opportunities

The mission of the Correctional Education is to provide incarcerated individuals with educational opportunities and skills necessary to function successfully and responsibly in society upon their release. With over 90% of inmates re-entering their communities, quality educational opportunities are essential in obtaining job skills that lead to employment that provide a sustainable living wage. The Iowa Department of Corrections is committed to its mission of creating opportunities for safer communities.

The Iowa Department of Corrections contracts with state community colleges to provide quality education and vocational programs in the state prison system. During FY2019 there were 300 incarcerated individuals who received their high school equivalency diploma (Hi Set); 196 incarcerated individuals completed literacy programs; and 944 incarcerated individuals completed vocational training.

**Iowa Dept. of Corrections FY19  
Correctional Education Program  
Completions**



### Post-Secondary Educational Offerings in Correctional Facilities



#### Iowa Central Community College

- 2<sup>nd</sup> Chance Pell Experimental Site Initiative
- College courses leading to certificates in welding, supply chain management, industrial machining, carpentry, and culinary baking
- At Fort Dodge Correctional Facility & North Central Correctional Facility



#### Grinnell College

- Bard Prison Initiative
- 60 Credit program
- In place since 2007
- Liberal Arts focus in Newton, no cost to students



#### University of Iowa

- Credit bearing courses
- Iowa Medical & Classification Center, no cost to students

As part of the Second Chance Pell Experimental Sites Initiative, the partnered with ICC, Grinnell College and the University of Iowa to offer credit-bearing college courses. Courses are offered at Newton Correctional Facility, Iowa Correctional and Classification Center, Fort Dodge Correctional Facility and North Central Correctional

#### Postsecondary Academic Program Enrollment

|   |     |
|---|-----|
| Associate Degree (AGS)                              | 163 |
| Bachelor's Degree (Correspondence)                  | 89  |
| Master's Degree (Correspondence)                    | 11  |
| Doctorate's Degree (Correspondence)                 | 5   |
| University of Iowa Prison Education                 | 74  |
| Grinnell College First Year College Program         | 23  |
| ICIW/Skylark Post-Secondary Program (women only)    | 32  |
| Friends of Iowa Post-Secondary Program (women only) | 9   |

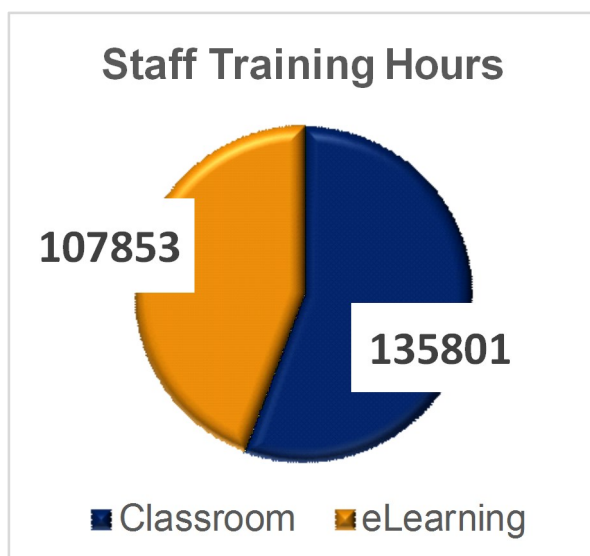




## Agency Accomplishments

### Staff Training

The Iowa Department of Corrections database tracks training throughout the DOC prisons, Central Office and CBCs (for eLearning only because community based corrections have separate tracking systems for other types of training). This database showed a total of 243,654 hours of training provided statewide in FY2019. A total of 135,801 hours were completed in the classroom and 107,853 were completed via the eLearning system.



# 243,654 Total

**Staff Training Hours  
For FY2019**

# Community Based Corrections



First District



Second District



Third District



Fourth District



Fifth District



Sixth District



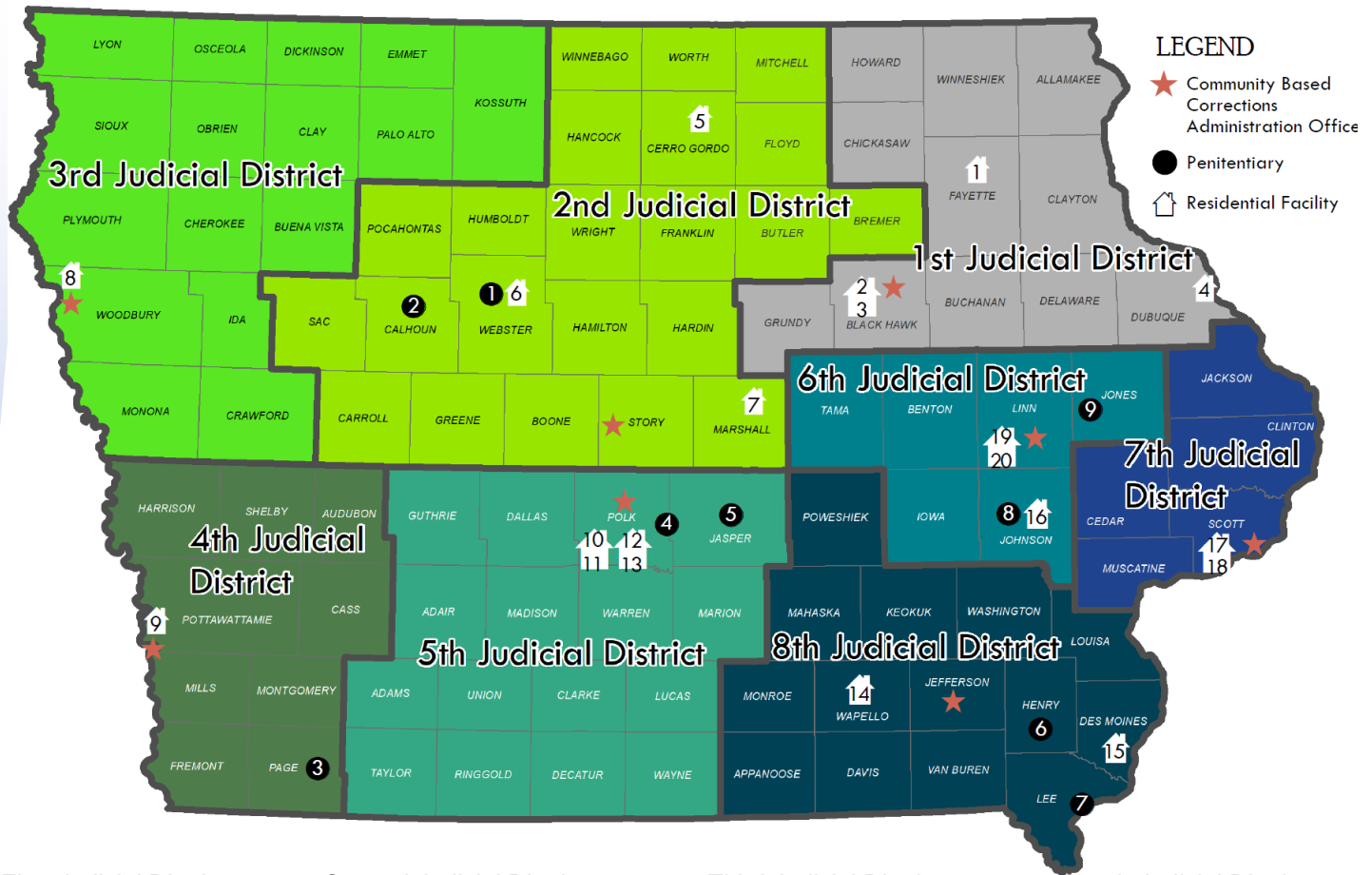
Seventh District



Eighth District

# Iowa Department of Corrections

## Community-Based Corrections Administration Offices and Counties Served



**First Judicial District**  
 Ken Kolthoff Director  
 314 East 6th Street  
 Waterloo, IA 50704  
 319/236-9626  
 Fax: 319/291-3947

**Second Judicial District**  
 Amanda Milligan, Director  
 111 N. Sherman  
 Ames, IA 50010  
 515/232-1810  
 Fax: 515/232-9453

**Third Judicial District**  
 Maureen Hansen, Director  
 515 Water Street  
 Sioux City, IA 51103  
 712/252-0590  
 Fax: 712/252-0634

**Fourth Judicial District**  
 Kip Shanks, Director  
 801 South 10th Street  
 Council Bluffs, IA 51501  
 712/325-4943  
 Fax: 712/325-0312

**Fifth Judicial District**  
 Jerry Evans, Director  
 1000 Washington Avenue  
 Des Moines, IA 50314  
 515/242-6611  
 Fax: 515/242-6656

**Sixth Judicial District**  
 Bruce Vander Sanden, Director  
 951 29th Avenue SW  
 Cedar Rapids, IA 52404  
 319/398-3675  
 Fax: 319/398-3684

**Seventh Judicial District**  
 Waylyn McCulloh, Director  
 605 Main Street  
 Davenport, IA 52803  
 563/322-7986  
 Fax: 563/324-2063

**Eighth Judicial District**  
 Dan Fell, Director  
 1805 West Jefferson  
 Fairfield, IA 52556  
 641/472-4242  
 Fax: 641/472-9966

1 West Union Resi Facility  
 2 Waterloo Works Release Facility  
 3 Waterloo Women's Center for Change  
 4 Dubuque Residential Facility  
 5 Beje Clark Resi Facility (Mason City)  
 6 Fort Dodge Resi Correctional Center  
 7 Marshalltown Resi Correctional Center

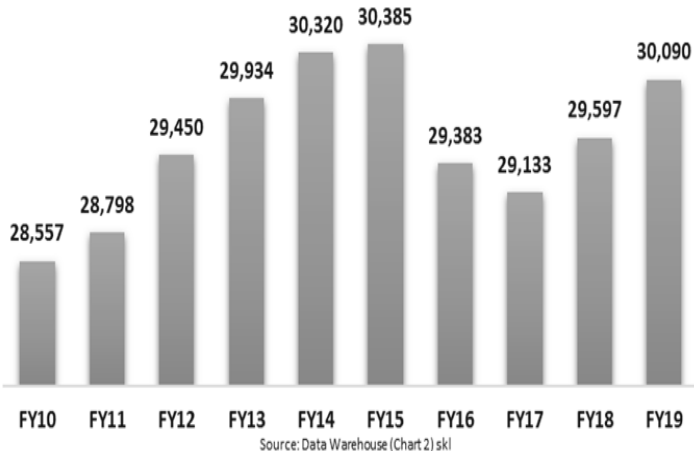
8 Sioux City Resi Treatment Facility  
 9 Council Bluffs Resi Correctional Facility x2  
 10 Des Moines Work Release Center  
 11 Fort Des Moines OWI  
 12 Fort Des Moines Resi Facility  
 13 Des Moines Women's Facility  
 14 Ottumwa Resi Facility

15 Burlington Resi Facility  
 16 Hope House (Coralville)  
 17 Davenport Resi Facility  
 18 Davenport Work Release/OWI Center  
 19 Gerald R. Hinzman Center (Cedar Rapids)  
 20 ANCHOR Center (Cedar Rapids)

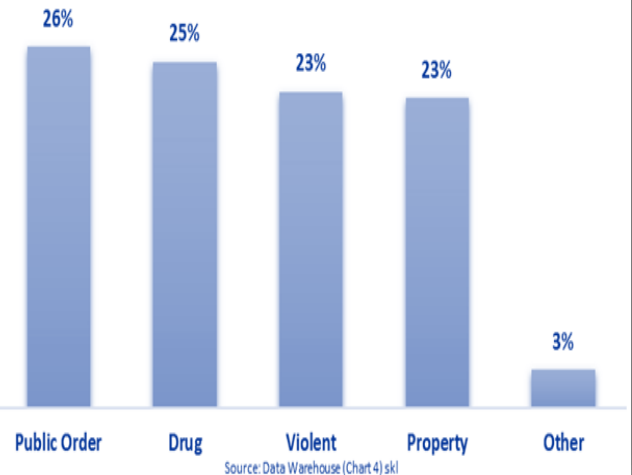


## CBC Population

Community Based Corrections  
Population - End of Fiscal Year



Offense Types FY19  
Community Based Offenders Served



### FIELD SERVICES

| Supervision Status                     | Active at Start<br>7/1/19 | New Admits    | Closures      | Active at End<br>6/30/19 | Offenders<br>Served |
|--|---------------------------|---------------|---------------|--------------------------|---------------------|
| CCUSO Release with Supervision 229A.9A | 2                         | 1             | 1             | 3                        | 3                   |
| Federal                                |                           |               | 1             |                          |                     |
| Interstate Compact Parole              | 269                       | 216           | 192           | 282                      | 485                 |
| Interstate Compact Probation           | 1,010                     | 748           | 693           | 1,004                    | 1758                |
| No Correctional Supervision Status     | 11                        | 57            | 51            | 8                        | 68                  |
| OWI Continuum                          | 8                         | 40            | 2             | 6                        | 48                  |
| Parole                                 | 3,775                     | 3366          | 2,731         | 3,506                    | 7141                |
| Pretrial Release With Supervision      | 2,418                     | 8539          | 6,579         | 2,192                    | 10957               |
| Probation                              | 19,654                    | 14822         | 13,728        | 17,615                   | 34476               |
| Special Sentence                       | 990                       | 198           | 236           | 1,037                    | 1188                |
| <b>Statewide Total</b>                 | <b>28,137</b>             | <b>27,987</b> | <b>24,214</b> | <b>25,653</b>            | <b>56124</b>        |

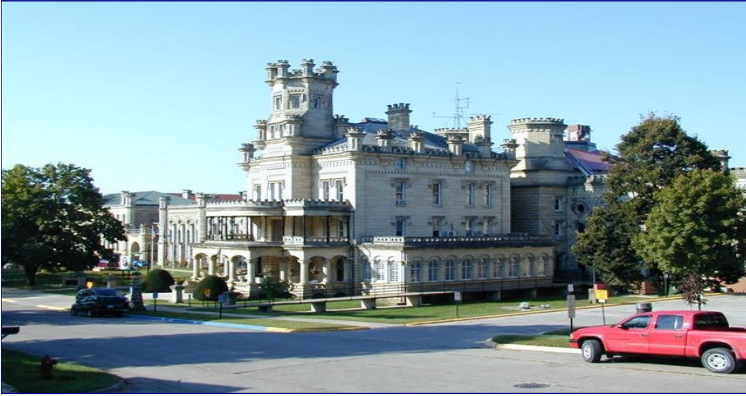
Source: Data Warehouse (Chart 3A) skl

### RESIDENTIAL

| Supervision Status                     | Active At Start<br>7/1/19 | New Admits  | Closures     | Active At End<br>6/30/19 | Offenders<br>Served |
|--|---------------------------|-------------|--------------|--------------------------|---------------------|
| CCUSO Release with Supervision 229A.9A |                           | 1           |              | 1                        | 1                   |
| Federal                                | 48                        | 157         | 153          | 54                       | 205                 |
| Interstate Compact Parole              |                           | 3           | 2            |                          | 3                   |
| OWI Continuum                          | 34                        | 175         | 150          | 50                       | 209                 |
| Parole                                 | 3                         | 20          | 12           | 6                        | 23                  |
| Pretrial Release With Supervision      | 1                         | 8           | 2            | 2                        | 9                   |
| Probation                              | 68                        | 420         | 299          | 62                       | 488                 |
| Special Sentence                       | 8                         | 41          | 30           | 13                       | 49                  |
| Work Release                           | 110                       | 741         | 559          | 94                       | 851                 |
| <b>Statewide Total</b>                 | <b>272</b>                | <b>1566</b> | <b>1,207</b> | <b>282</b>               | <b>1838</b>         |

Source: Data Warehouse (Chart 3B) skl

# Iowa Prisons



Anamosa State Penitentiary, Anamosa



Clarinda Correctional Facility, Clarinda



Iowa Correctional Institution for Women, Mitchellville



Iowa State Penitentiary, Fort Madison



Fort Dodge Correctional Facility, Fort Dodge



Newton Correctional Facility, Newton



Mt Pleasant Correctional Facility, Mt. Pleasant



North Central Correctional Facility, Rockwell City

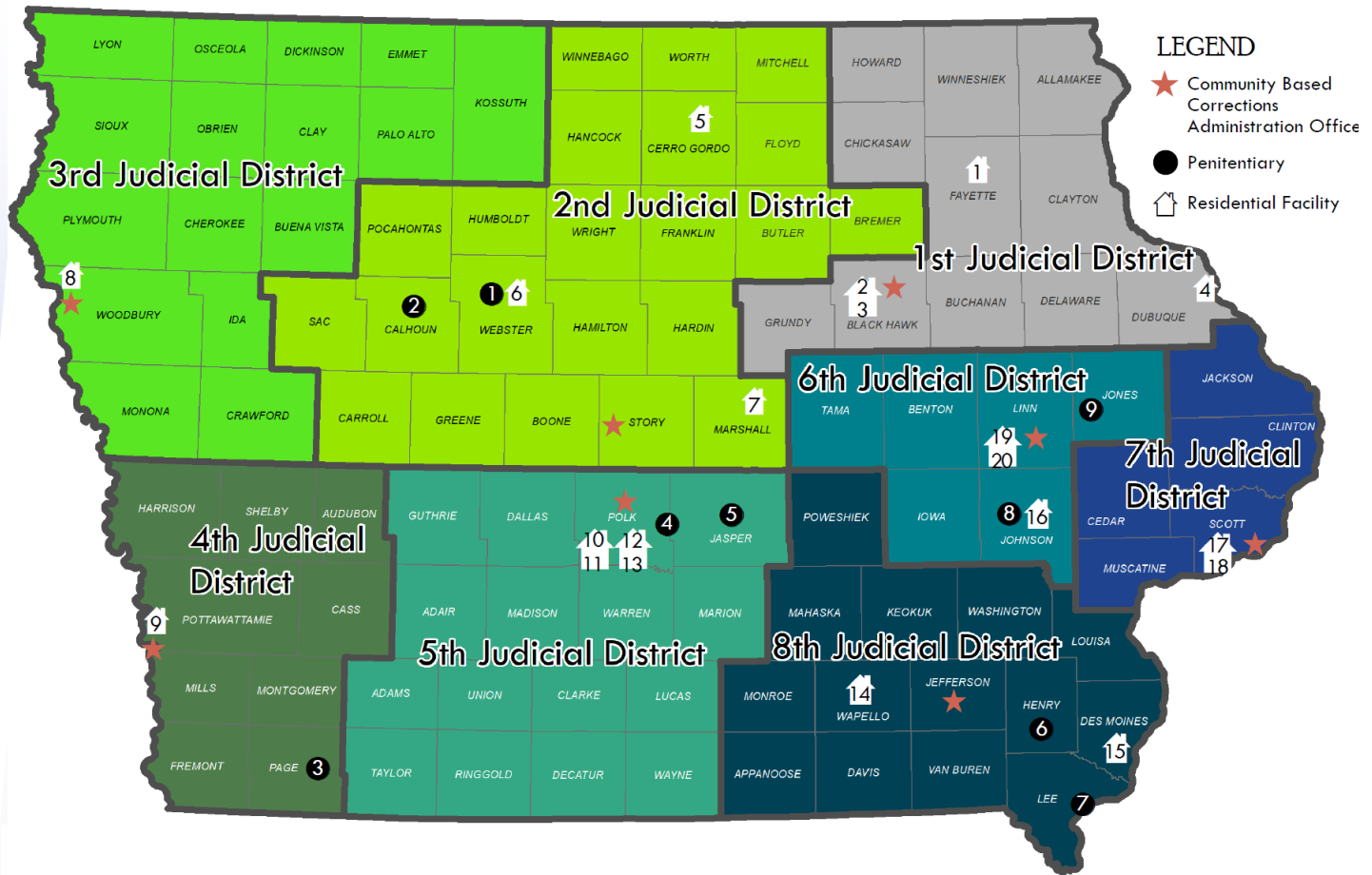


Iowa Medical and Classification Center, Coralville

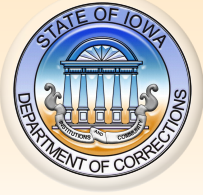


# Iowa Department of Corrections

## Iowa Prisons



- |   |  |  |
|---|--|--|
| 1 Fort Dodge Correctional Facility<br>Warden Bob Johnson<br>1550 L Street<br>Fort Dodge, Iowa 50501<br>(515) 574-4700               | 2 North Central Correctional Facility<br>Warden Bob Johnson<br>313 Lanedale<br>Rockwell City, Iowa 50579-7464<br>(712) 297-7521        | 3 Clarinda Correctional Facility<br>Warden Steve Weis<br>2000 North 16th Street<br>Clarinda, Iowa 51632<br>(712) 542-5634                  |
| 4 Iowa Correctional Institution for Women<br>Warden Sheryl Dahm<br>300 Elm Avenue SW<br>Mitchellville, Iowa 50169<br>(515) 967-4236 | 5 Newton Correctional Facility<br>Warden Kris Weitzell<br>307 South 60th Avenue, W<br>Newton, Iowa 50208<br>(641) 792-7552             | 5 Mount Pleasant Correctional Facility<br>Warden Jay Nelson<br>1200 East Washington Street<br>Mount Pleasant, Iowa 52641<br>(319) 385-9511 |
| 7 Iowa State Penitentiary<br>Warden Randy Gibbs<br>3 John Bennett Drive<br>Fort Madison, Iowa 52627<br>(319) 372-5432               | 8 Iowa Medical and Classification Center<br>Warden Jim McKinney<br>2700 Coral Ridge Avenue<br>Coralville, Iowa 52241<br>(319) 626-2391 | 9 Anamosa State Penitentiary<br>Warden Jeremy Larson<br>406 North High Street<br>Anamosa, Iowa 52205<br>(319) 462-3504                     |

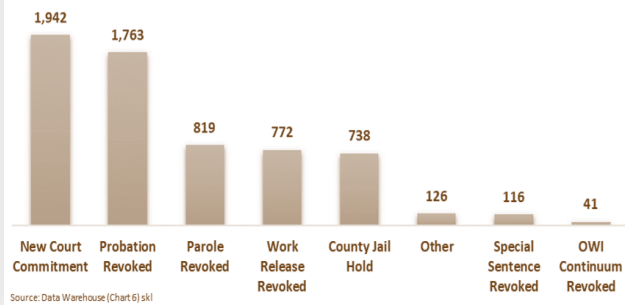


## Institution Populations

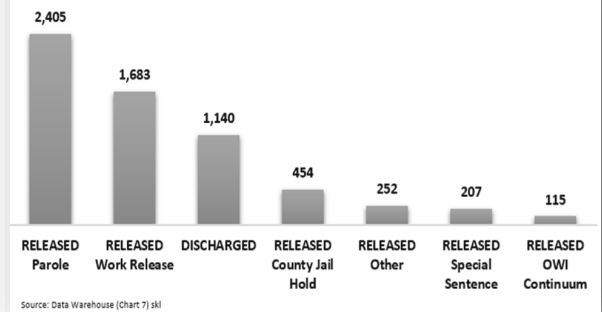
Prison Population - End of Fiscal Year



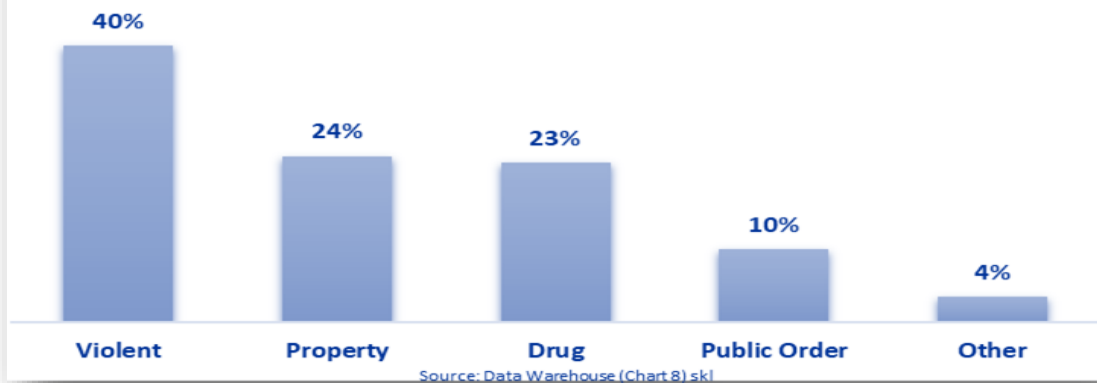
Types of Prison Admissions FY19



Types of Prison Releases FY19



Offense Types FY19  
Prison Offenders Served

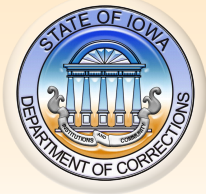






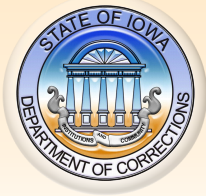
## Agency Performance Report

|   | Performance  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
|---|--|-------------------------|-----------------------------------|---|---------------------|--|------|------|------|------|------|------|------|---|
| Performance Measure   | Target   | Actual                  | Performance Comments and Analysis |   |                     |  |      |      |      |      |      |      |      |   |
| Core Function: Offender supervision, custody and treatment  |  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| 49-001<br>Number of Escapes<br>(Prison and Residential)   | 0  | Prison - 2<br>CBC - 969 |                                   | <p>Prison Escapes</p> <table><thead><tr><th>Fiscal Year</th><th>Prison Escapes</th></tr></thead><tbody><tr><td>FY16</td><td>0</td></tr><tr><td>FY17</td><td>0</td></tr><tr><td>FY18</td><td>0</td></tr><tr><td>FY19</td><td>2</td></tr></tbody></table>   | Fiscal Year         | Prison Escapes   | FY16 | 0    | FY17 | 0    | FY18 | 0    | FY19 | 2 |
|   |  |                         |                                   | Fiscal Year   | Prison Escapes      |  |      |      |      |      |      |      |      |   |
| FY16  | 0  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY17  | 0  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY18  | 0  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY19  | 2  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| <p>Residential Escapes</p> <table><thead><tr><th>Fiscal Year</th><th>Residential Escapes</th></tr></thead><tbody><tr><td>FY16</td><td>774</td></tr><tr><td>FY17</td><td>855</td></tr><tr><td>FY18</td><td>860</td></tr><tr><td>FY19</td><td>969</td></tr></tbody></table> |  |                         |                                   | Fiscal Year   | Residential Escapes | FY16   | 774  | FY17 | 855  | FY18 | 860  | FY19 | 969  |   |
| Fiscal Year   | Residential Escapes                                    |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY16  | 774  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY17  | 855  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY18  | 860  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY19  | 969  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| 49-005<br>Number of Disturbances or Serious Incidents by Inmates (Prison)   | 0  | 2                       |                                   | <p>Number of Disturbances or Serious Incidents by Inmates</p> <table><thead><tr><th>Fiscal Year</th><th>Number of Disturbances or Serious Incidents by Inmates</th></tr></thead><tbody><tr><td>FY16</td><td>1</td></tr><tr><td>FY17</td><td>3</td></tr><tr><td>FY18</td><td>3</td></tr><tr><td>FY19</td><td>2</td></tr></tbody></table> | Fiscal Year         | Number of Disturbances or Serious Incidents by Inmates | FY16 | 1    | FY17 | 3    | FY18 | 3    | FY19 | 2 |
| Fiscal Year   | Number of Disturbances or Serious Incidents by Inmates |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY16  | 1  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY17  | 3  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY18  | 3  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY19  | 2  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| 49-006<br>Number of Serious Injuries Caused to Staff (Prison)   | 0  | 7                       |                                   | <p>Number of Serious Injuries Caused to Staff</p> <table><thead><tr><th>Fiscal Year</th><th>Number of Serious Injuries Caused to Staff</th></tr></thead><tbody><tr><td>FY16</td><td>10</td></tr><tr><td>FY17</td><td>10</td></tr><tr><td>FY18</td><td>7</td></tr><tr><td>FY19</td><td>7</td></tr></tbody></table>                       | Fiscal Year         | Number of Serious Injuries Caused to Staff             | FY16 | 10   | FY17 | 10   | FY18 | 7    | FY19 | 7 |
| Fiscal Year   | Number of Serious Injuries Caused to Staff             |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY16  | 10   |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY17  | 10   |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY18  | 7  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |
| FY19  | 7  |                         |                                   |   |                     |  |      |      |      |      |      |      |      |   |



## Agency Performance Report—Continued

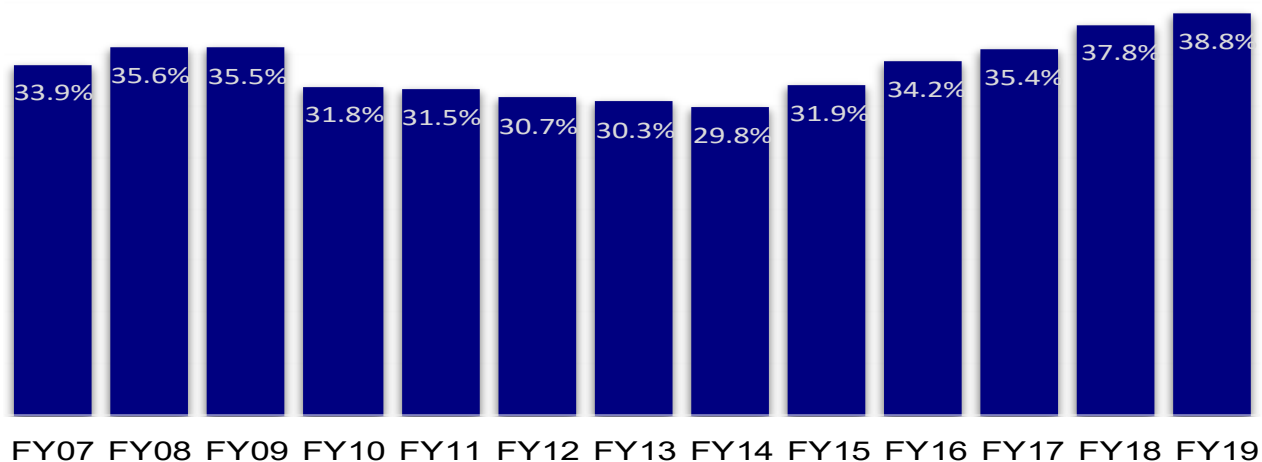
|   | Performance |         |                                   |  |
|---|-------------|---------|-----------------------------------|--|
| Performance Measure   | Target      | Actual  | Performance Comments and Analysis |  |
| Service, Product or Activity: Risk Identification                                       |             |         |                                   |  |
| 49100-002<br>Percent Inmates with Custody Assignment Per Risk Assessment Score (Prison) | 90%         | 86%     |                                   | Down slightly by 2% from FY18.   |
| 49100-004<br>Percent Iowa Risk Assessments Completed (CBC)                              | 100%        | 88%     |                                   | Down 1% from FY18.   |
| 49100-005<br>Percent Required custody Classifications                                   | 100%        | 100%    |                                   | No change from FY18.   |
| Service, Product or Activity: Risk Management   |             |         |                                   |  |
| 49200-001<br>Recommendation Rate for Release to Parole Board (Prison)                   | 85%         | 54%     |                                   | <div>Recommendation Rate for Release to Parole Board</div> <div><div><div>41%</div><div>46%</div><div>53%</div><div>54%</div></div><div><div>FY16</div><div>FY17</div><div>FY18</div><div>FY19</div></div></div> |
| 49200-002<br>Percent Technical Violations Resulting in Jail/ Prison (CBC)               | 10%         | 16%     |                                   | <div>Technical Violations Resulting in Prison</div> <div><div><div>17%</div><div>14%</div><div>16%</div><div>16%</div></div><div><div>FY16</div><div>FY17</div><div>FY18</div><div>FY19</div></div></div>        |
| 49200-003<br>Number of Community Service Hours Provided (Prison)                        | 175,000     | 177,038 |                                   | There was an increase of 1,015 hours from those provided in FY18.  |

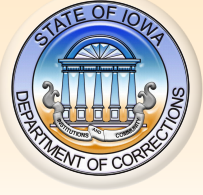


## Agency Performance Report—Continued

|   | Performance |        |                                   |  |
|---|-------------|--------|-----------------------------------|--|
| Performance Measure   | Target      | Actual | Performance Comments and Analysis |  |
| Service, Product or Activity: Basic Life Care   |             |        |                                   |  |
| 49400-002<br>Number of Life Safety Violations (Prison, CBC and Central Office)                              | 0           | 767    |                                   | Safety violations were up from 591 in FY18.                          |
| 49400-003<br>Number of Serious Injuries, Accidents or Offender Deaths (Prison, CBC, Central Office and IPI) | 0           | 270    |                                   | There was an increase of 84 incidents from the previous fiscal year. |
| 49400-004<br>Number of Occurrences of Sexual Violence (Prison, CBC and Central Office)                      | 0           | 27     |                                   | FY19 had an increase of 3 over the previous year.                    |
| Service, Product or Activity: Capital Projects  |             |        |                                   |  |
| Service, Product or Activity: Pharmaceuticals, Overtime and Leased  |             |        |                                   |  |
| 67200-001<br>Percent of Dollars Spent on Institution Pharmaceuticals (Prison)                               | 3%          | 3%     |                                   | No change from FY18.   |
| 67200-003<br>Correctional officer Overtime as a percentage of Payroll                                       | 2%          | 2%     |                                   | No change from FY18.   |

## Return Rate to Prison





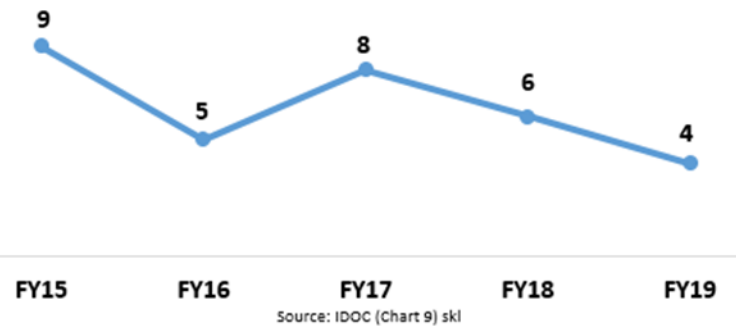
## Performance Measure Highlights

### Implementing the Prison Rape Elimination Act (PREA)

Why this is important: It's about offender safety. PREA is a federal statute focused on sexual assault and victimization in juvenile facilities, prisons, jails, lockups, and other detention facilities. The goal of PREA is to prevent, detect, and respond to sexual abuser in detention and correctional facilities.

What we're doing about this: All DOC institutions have completed and passed PREA audits that ensure compliance with PREA Standards. Audits are conducted every three years to ensure compliance.

#### Prison: Substantiated Offender on Offender Non-Consensual Sexual Acts

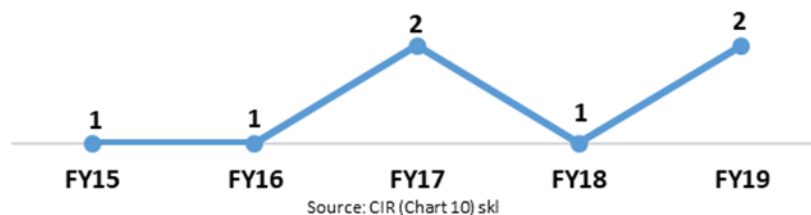


### Prison Suicides

Why this is important: It's about providing basic life care for individuals in custody, and intervening effectively to prevent them from harming themselves.

What we're doing about this: Staff training is key to prevention. Recognizing the warning signs of someone contemplating suicide, and intervening in an emergency situation are essential. Staff training goes beyond these basics to include recognition of and effective communication with individuals who have mental health issues. Regular screening for mental illness and provision of appropriate levels of care ranging from acute units to integration within the general inmate population is also important in reducing suicide incidents.

#### Prison Suicides

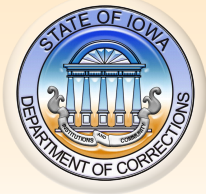






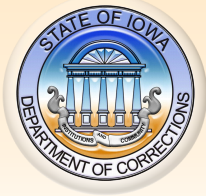
## Financial Status Reports—Department Totals FY2019

|   | Department<br>Revised<br>Budget | Actual<br>Revenues and<br>Expenditures | Encumbrances | Actual<br>+<br>Encumbrances | Percent<br>(Actual of<br>Budget) |
|---|---------------------------------|--|--------------|-----------------------------|----------------------------------|
| <b>FTE Positions</b>                      |                                 |  |              |                             |                                  |
| Correctional Officer                      | 1,384.00                        |  |              |                             |                                  |
| Total Staffing                            | 2,574.08                        |  |              |                             |                                  |
| <b>Resources Available</b>                |                                 |  |              |                             |                                  |
| 04B Balance Brought Forward               | 1,346,574                       | 1,346,575.90                           | -            | 1,346,575.90                | 1.00                             |
| 05A Appropriation                         | 290,624,041                     | 290,624,041.48                         | -            | 290,624,041.48              | 1.00                             |
| --- Appropriation Transfer                | -                               | -                                      | -            | -                           | ---                              |
| --- Legislative Adjustments               | (978,620)                       | (978,620.00)                           | -            | (978,620.00)                | 1.00                             |
| 201R Federal Support                      | -                               | -                                      | -            | -                           | ---                              |
| 202R Local Governments                    | 216,000                         | 306,973.02                             | -            | 306,973.02                  | 1.42                             |
| 204R Intra State Receipts                 | 954,428                         | 1,008,113.37                           | -            | 1,008,113.37                | 1.06                             |
| 205R Reimbursement from Other Agencies    | 93,306                          | -                                      | -            | -                           | -                                |
| 234R Transfers - Other Agencies           | 136,009                         | (31,150.06)                            | -            | (31,150.06)                 | (0.23)                           |
| 301R Interest                             | -                               | -                                      | -            | -                           | ---                              |
| 401R Fees, Licenses & Permits             | 467,440                         | 610,291.69                             | -            | 610,291.69                  | 1.31                             |
| 501R Refunds & Reimbursements             | 457,100                         | 1,020,885.33                           | -            | 1,020,885.33                | 2.23                             |
| 602R Sale of Equipment & Salvage          | 105                             | 106.00                                 | -            | 106.00                      | 1.01                             |
| 603R Rents & Leases                       | 1,247,400                       | 1,054,854.54                           | -            | 1,054,854.54                | 0.85                             |
| 604R Agricultural Sales                   | -                               | -                                      | -            | -                           | ---                              |
| 606R Other Sales & Services               | -                               | -                                      | -            | -                           | ---                              |
| 701R Unearned Receipts                    | -                               | -                                      | -            | -                           | ---                              |
| <b>Total Resources Available</b>          | <b>294,563,783</b>              | <b>294,962,071.27</b>                  |              | <b>294,962,071.27</b>       | <b>1.00</b>                      |
| <b>Funds Expended and Encumbered</b>      |                                 |  |              |                             |                                  |
| 101 Personal Services-Salaries            | 231,812,535                     | 221,083,843.16                         | -            | 221,083,843.16              | 0.95                             |
| 202 Personal Travel (In State)            | 200,930                         | 286,430.86                             | -            | 286,430.86                  | 1.43                             |
| 203 State Vehicle Operation               | 575,088                         | 738,964.21                             | -            | 738,964.21                  | 1.28                             |
| 204 Depreciation                          | 10,273                          | 1,668,475.95                           | -            | 1,668,475.95                | 162.41                           |
| 205 Personal Travel (Out of State)        | 28,729                          | 47,078.14                              | -            | 47,078.14                   | 1.64                             |
| 301 Office Supplies                       | 231,036                         | 425,214.61                             | -            | 425,214.61                  | 1.84                             |
| 302 Facility Maintenance Supplies         | 1,529,999                       | 2,430,488.99                           | -            | 2,430,488.99                | 1.59                             |
| 303 Equipment Maintenance Supplies        | 956,505                         | 1,002,284.72                           | -            | 1,002,284.72                | 1.05                             |
| 304 Professional & Scientific Supplies    | 836,175                         | 1,459,524.32                           | -            | 1,459,524.32                | 1.75                             |
| 306 Housing & Subsistence Supplies        | 2,447,919                       | 2,624,803.07                           | -            | 2,624,803.07                | 1.07                             |
| 307 Ag,Conservation & Horticulture Supply | 38,705                          | 58,198.97                              | -            | 58,198.97                   | 1.50                             |
| 308 Other Supplies                        | 757,485                         | 984,424.29                             | -            | 984,424.29                  | 1.30                             |
| 309 Printing & Binding                    | 125                             | -                                      | -            | -                           | -                                |
| 310 Drugs & Biologicals                   | 7,843,927                       | 8,340,726.79                           | -            | 8,340,726.79                | 1.06                             |
| 311 Food                                  | 10,543,214                      | 11,778,228.43                          | -            | 11,778,228.43               | 1.12                             |
| 312 Uniforms & Related Items              | 1,646,275                       | 2,027,787.03                           | -            | 2,027,787.03                | 1.23                             |
| 313 Postage                               | 60,602                          | 49,047.65                              | -            | 49,047.65                   | 0.81                             |
| 401 Communications                        | 619,906                         | 549,012.10                             | -            | 549,012.10                  | 0.89                             |
| 402 Rentals                               | 93,870                          | 136,157.08                             | -            | 136,157.08                  | 1.45                             |
| 403 Utilities                             | 9,263,230                       | 10,590,407.57                          | -            | 10,590,407.57               | 1.14                             |
| 405 Professional & Scientific Services    | 2,171,412                       | 2,865,226.28                           | -            | 2,865,226.28                | 1.32                             |
| 406 Outside Services                      | 6,677,316                       | 6,322,979.48                           | -            | 6,322,979.48                | 0.95                             |
| 407 Intra-State Transfers                 | 256                             | -                                      | -            | -                           | -                                |
| 408 Advertising & Publicity               | 12,101                          | 28,564.44                              | -            | 28,564.44                   | 2.36                             |
| 409 Outside Repairs/Service               | 1,201,302                       | 1,461,739.27                           | -            | 1,461,739.27                | 1.22                             |
| 412 Auditor of State Reimbursements       | -                               | -                                      | -            | -                           | ---                              |
| 414 Reimbursement to Other Agencies       | 5,315,056                       | 5,179,186.75                           | -            | 5,179,186.75                | 0.97                             |
| 416 ITD Reimbursements                    | 1,000,216                       | 998,792.69                             | -            | 998,792.69                  | 1.00                             |
| 417 Worker's Compensation                 | -                               | -                                      | -            | -                           | ---                              |
| 418 IT Outside Services                   | 2,075,705                       | 2,075,748.80                           | -            | 2,075,748.80                | 1.00                             |
| 419 Intra Agency Reimbursement            | -                               | 300.00                                 | -            | 300.00                      | ---                              |
| 433 Transfers - Auditor of State          | 1,199                           | 1,028.50                               | -            | 1,028.50                    | 0.86                             |
| 434 Transfers - Other Agencies Services   | 92,277                          | 364,642.92                             | -            | 364,642.92                  | 3.95                             |
| 501 Equipment                             | 68,625                          | 1,073,028.42                           | -            | 1,073,028.42                | 15.64                            |
| 502 Office Equipment                      | 20,045                          | 60,979.11                              | -            | 60,979.11                   | 3.04                             |
| 503 Equipment - Non-Inventory             | 1,757,443                       | 940,108.01                             | -            | 940,108.01                  | 0.53                             |
| 510 IT Equipment                          | 796,769                         | 1,639,294.15                           | -            | 1,639,294.15                | 2.06                             |
| 601 Claims                                | 301                             | 449.95                                 | -            | 449.95                      | 1.49                             |
| 602 Other Expense & Obligations           | 3,274,020                       | 3,408,602.57                           | -            | 3,408,602.57                | 1.04                             |
| 701 Licenses                              | 12,526                          | 10,662.34                              | -            | 10,662.34                   | 0.85                             |
| 702 Fees                                  | 115                             | 30.00                                  | -            | 30.00                       | 0.26                             |
| 705 Refunds-Other                         | -                               | -                                      | -            | -                           | ---                              |
| 901 Capitals                              | 100                             | 53,206.00                              | -            | 53,206.00                   | 532.06                           |
| --- Balance Carry Forward                 | 590,471                         | 2,049,137.21                           | -            | 2,049,137.21                | 3.47                             |
| --- Reversion                             | -                               | 147,266.44                             | -            | 147,266.44                  | ---                              |
| <b>Total Expenses and Encumbrances</b>    | <b>294,563,783</b>              | <b>294,962,071.27</b>                  |              | <b>294,962,071.27</b>       | <b>1.00</b>                      |
| <b>Ending Balance</b>                     |                                 |  |              |                             |                                  |



## Financial Status Reports—Institutions Totals FY2019

|   | Department<br>Revised<br>Budget | Actual<br>Revenues and<br>Expenditures | Encumbrances | Actual<br>+<br>Encumbrances | Percent<br>(Actual of<br>Budget) |
|---|---------------------------------|--|--------------|-----------------------------|----------------------------------|
| <b>FTE Positions</b>                      |                                 |  |              |                             |                                  |
| Correctional Officer                      | 1,384.00                        |  |              |                             |                                  |
| Total Staffing                            | 2,536.08                        |  |              |                             |                                  |
| <b>Resources Available</b>                |                                 |  |              |                             |                                  |
| 04B Balance Brought Forward               | 66,351                          | 66,352.32                              | -            | 66,352.32                   | 1.00                             |
| 05A Appropriation                         | 274,640,222                     | 274,640,222.00                         | -            | 274,640,222.00              | 1.00                             |
| --- Appropriation Transfer                | 960,000                         | 960,000.00                             | -            | 960,000.00                  | 1.00                             |
| --- Legislative Adjustments               | 2,964,959                       | 2,964,959.00                           | -            | 2,964,959.00                | 1.00                             |
| 201R Federal Support                      | -                               | -                                      | -            | -                           | ---                              |
| 202R Local Governments                    | 216,000                         | 306,973.02                             | -            | 306,973.02                  | 1.42                             |
| 204R Intra State Receipts                 | 61,519                          | -                                      | -            | -                           | -                                |
| 205R Reimbursement from Other Agencies    | 3,306                           | -                                      | -            | -                           | -                                |
| 234R Transfers - Other Agencies           | 71,009                          | 75,118.37                              | -            | 75,118.37                   | 1.06                             |
| 301R Interest                             | -                               | -                                      | -            | -                           | ---                              |
| 401R Fees, Licenses & Permits             | 467,440                         | 610,291.69                             | -            | 610,291.69                  | 1.31                             |
| 501R Refunds & Reimbursements             | 403,100                         | 971,077.97                             | -            | 971,077.97                  | 2.41                             |
| 602R Sale of Equipment & Salvage          | 105                             | 106.00                                 | -            | 106.00                      | 1.01                             |
| 603R Rents & Leases                       | 1,247,400                       | 1,054,854.54                           | -            | 1,054,854.54                | 0.85                             |
| 604R Agricultural Sales                   | -                               | -                                      | -            | -                           | ---                              |
| 606R Other Sales & Services               | -                               | -                                      | -            | -                           | ---                              |
| 701R Unearned Receipts                    | -                               | -                                      | -            | -                           | ---                              |
| <b>Total Resources Available</b>          | <b>281,101,411</b>              | <b>281,649,954.91</b>                  |              | <b>281,649,954.91</b>       | <b>1.00</b>                      |
| <b>Funds Expended and Encumbered</b>      |                                 |  |              |                             |                                  |
| 101 Personal Services-Salaries            | 227,096,392                     | 216,523,452.81                         | -            | 216,523,452.81              | 0.95                             |
| 202 Personal Travel (In State)            | 134,768                         | 259,696.78                             | -            | 259,696.78                  | 1.93                             |
| 203 State Vehicle Operation               | 549,200                         | 709,481.77                             | -            | 709,481.77                  | 1.29                             |
| 204 Depreciation                          | 10,272                          | 1,638,475.95                           | -            | 1,638,475.95                | 159.51                           |
| 205 Personal Travel (Out of State)        | 17,227                          | 35,896.04                              | -            | 35,896.04                   | 2.08                             |
| 301 Office Supplies                       | 190,976                         | 374,070.17                             | -            | 374,070.17                  | 1.96                             |
| 302 Facility Maintenance Supplies         | 1,529,999                       | 2,430,488.99                           | -            | 2,430,488.99                | 1.59                             |
| 303 Equipment Maintenance Supplies        | 956,505                         | 1,002,284.72                           | -            | 1,002,284.72                | 1.05                             |
| 304 Professional & Scientific Supplies    | 836,175                         | 1,267,524.32                           | -            | 1,267,524.32                | 1.52                             |
| 306 Housing & Subsistence Supplies        | 2,447,919                       | 2,624,803.07                           | -            | 2,624,803.07                | 1.07                             |
| 307 Ag,Conservation & Horticulture Supply | 38,705                          | 58,198.97                              | -            | 58,198.97                   | 1.50                             |
| 308 Other Supplies                        | 730,579                         | 966,610.29                             | -            | 966,610.29                  | 1.32                             |
| 309 Printing & Binding                    | 5                               | -                                      | -            | -                           | -                                |
| 310 Drugs & Biologicals                   | 7,843,927                       | 8,340,726.79                           | -            | 8,340,726.79                | 1.06                             |
| 311 Food                                  | 10,543,214                      | 11,778,228.43                          | -            | 11,778,228.43               | 1.12                             |
| 312 Uniforms & Related Items              | 1,646,275                       | 2,027,787.03                           | -            | 2,027,787.03                | 1.23                             |
| 313 Postage                               | 57,411                          | 45,867.57                              | -            | 45,867.57                   | 0.80                             |
| 401 Communications                        | 529,501                         | 462,254.10                             | -            | 462,254.10                  | 0.87                             |
| 402 Rentals                               | 93,870                          | 136,157.08                             | -            | 136,157.08                  | 1.45                             |
| 403 Utilities                             | 9,263,230                       | 10,590,407.57                          | -            | 10,590,407.57               | 1.14                             |
| 405 Professional & Scientific Services    | 2,134,512                       | 2,754,721.97                           | -            | 2,754,721.97                | 1.29                             |
| 406 Outside Services                      | 1,364,181                       | 1,465,797.04                           | -            | 1,465,797.04                | 1.07                             |
| 407 Intra-State Transfers                 | 256                             | -                                      | -            | -                           | -                                |
| 408 Advertising & Publicity               | 12,101                          | 28,564.44                              | -            | 28,564.44                   | 2.36                             |
| 409 Outside Repairs/Service               | 1,201,302                       | 1,461,739.27                           | -            | 1,461,739.27                | 1.22                             |
| 412 Auditor of State Reimbursements       | -                               | -                                      | -            | -                           | ---                              |
| 414 Reimbursement to Other Agencies       | 5,029,182                       | 4,914,247.13                           | -            | 4,914,247.13                | 0.98                             |
| 416 ITD Reimbursements                    | 973,901                         | 980,099.55                             | -            | 980,099.55                  | 1.01                             |
| 417 Worker's Compensation                 | -                               | -                                      | -            | -                           | ---                              |
| 418 IT Outside Services                   | 205                             | -                                      | -            | -                           | -                                |
| 419 Intra Agency Reimbursement            | -                               | 300.00                                 | -            | 300.00                      | ---                              |
| 433 Transfers - Auditor of State          | -                               | -                                      | -            | -                           | ---                              |
| 434 Transfers - Other Agencies Services   | 5,811                           | 275,857.98                             | -            | 275,857.98                  | 47.47                            |
| 501 Equipment                             | 68,625                          | 1,018,033.42                           | -            | 1,018,033.42                | 14.83                            |
| 502 Office Equipment                      | 20,045                          | 60,979.11                              | -            | 60,979.11                   | 3.04                             |
| 503 Equipment - Non-Inventory             | 1,756,067                       | 930,712.01                             | -            | 930,712.01                  | 0.53                             |
| 510 IT Equipment                          | 732,075                         | 1,224,195.43                           | -            | 1,224,195.43                | 1.67                             |
| 601 Claims                                | 301                             | 449.95                                 | -            | 449.95                      | 1.49                             |
| 602 Other Expense & Obligations           | 3,273,956                       | 3,408,504.53                           | -            | 3,408,504.53                | 1.04                             |
| 701 Licenses                              | 12,526                          | 10,662.34                              | -            | 10,662.34                   | 0.85                             |
| 702 Fees                                  | 115                             | 30.00                                  | -            | 30.00                       | 0.26                             |
| 705 Refunds-Other                         | -                               | -                                      | -            | -                           | ---                              |
| 901 Capitals                              | 100                             | 53,206.00                              | -            | 53,206.00                   | 532.06                           |
| --- Balance Carry Forward                 | -                               | 1,689,260.49                           | -            | 1,689,260.49                | ---                              |
| --- Reversion                             | -                               | 100,181.80                             | -            | 100,181.80                  | ---                              |
| <b>Total Expenses and Encumbrances</b>    | <b>281,101,411</b>              | <b>281,649,954.91</b>                  |              | <b>281,649,954.91</b>       | <b>1.00</b>                      |
| <b>Ending Balance</b>                     |                                 |  |              |                             |                                  |
|   |                                 |  |              |                             |                                  |

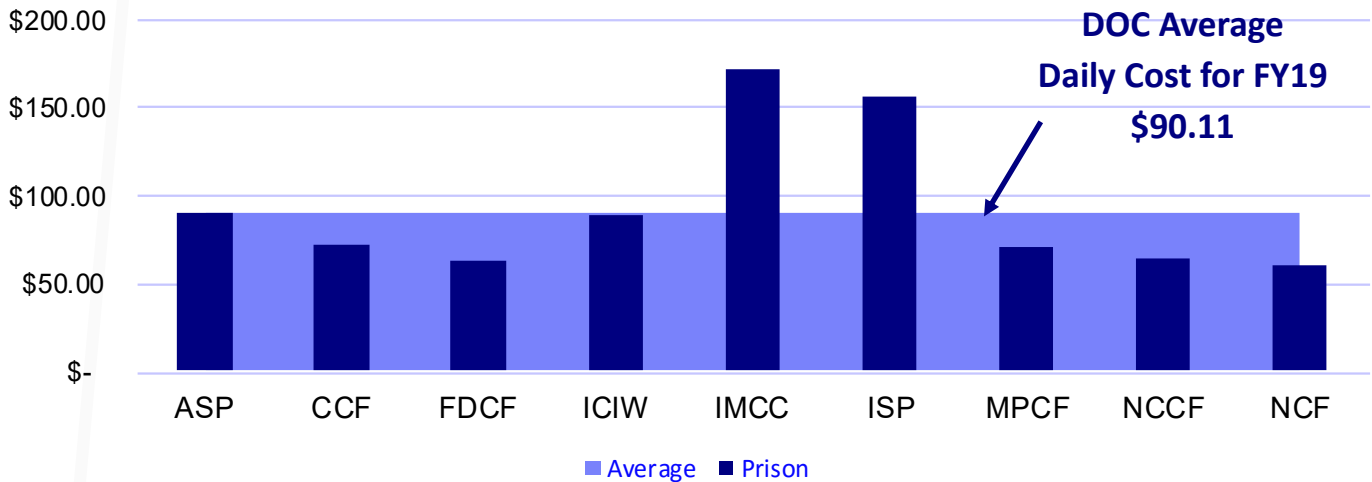


# Financial Status Reports—Community Based Corrections

## Totals FY2019

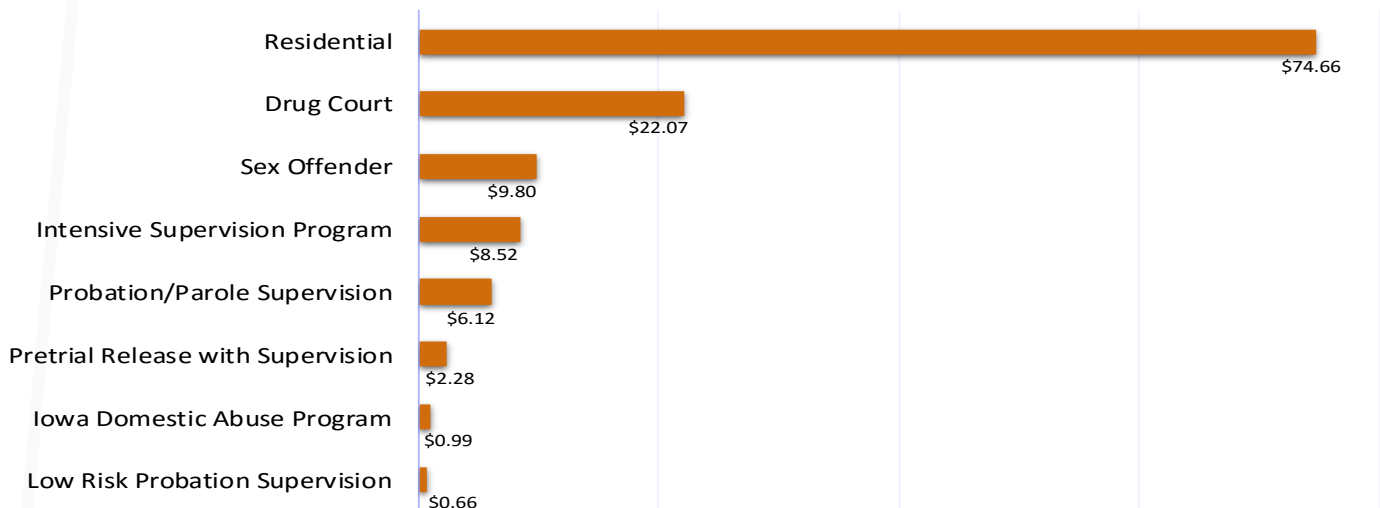
|   | Department<br>Revised<br>Budget | Actual<br>Revenues and<br>Expenditures | Encumbrances | Actual<br>Revenues and<br>Expenditures | Percent<br>(Actual of<br>Budget) |
|---|---------------------------------|--|--------------|--|----------------------------------|
| <b>FTE Positions</b>                      |                                 |  |              |  |                                  |
| Residential Officer                       | 291.16                          | 291.52                                 |              | 298.62                                 | 102.56%                          |
| Total Staffing                            | 1,108.48                        | 1,108.48                               |              | 1,119.11                               | 100.96%                          |
| <b>Resources Available</b>                |                                 |  |              |  |                                  |
| 04B Balance Brought Forward               | 4,889,774.00                    | 4,873,583.35                           | -            | 4,873,583.35                           | 99.67%                           |
| 05A Appropriation                         | 91,201,351.00                   | 91,201,351.00                          | -            | 91,201,351.00                          | 100.00%                          |
| --- Appropriation Transfer                | -                               | -                                      | -            | -                                      | ---                              |
| --- Legislative Adjustments               | 978,620.00                      | 978,620.00                             | -            | 978,620.00                             | 100.00%                          |
| 201R Federal Support                      | 672,451.00                      | 314,457.34                             | -            | 314,457.34                             | 46.76%                           |
| 202R Local Governments                    | 1,117,660.00                    | 1,084,939.84                           | -            | 1,084,939.84                           | 97.07%                           |
| 204R Intra State Receipts                 | -                               | 67,517.86                              | -            | 67,517.86                              | ---                              |
| 205R Reimbursement from Other Agencies    | 223,875.00                      | 304,054.69                             | -            | 304,054.69                             | 135.81%                          |
| 234R Transfers - Other Agencies           | -                               | -                                      | -            | -                                      | ---                              |
| 301R Interest                             | 40,789.00                       | 103,674.30                             | -            | 103,674.30                             | 254.17%                          |
| 401R Fees, Licenses & Permits             | 5,739,025.00                    | 6,120,272.07                           | -            | 6,120,272.07                           | 106.64%                          |
| 402R Tuition & Fees                       | -                               | -                                      | -            | -                                      | ---                              |
| 501R Refunds & Reimbursements             | 13,195,826.00                   | 13,573,729.33                          | -            | 13,573,729.33                          | 102.86%                          |
| 602R Sale of Equipment & Salvage          | -                               | 500.00                                 | -            | 500.00                                 | ---                              |
| 603R Rents & Leases                       | -                               | -                                      | -            | -                                      | ---                              |
| 604R Agricultural Sales                   | -                               | -                                      | -            | -                                      | ---                              |
| 606R Other Sales & Services               | -                               | -                                      | -            | -                                      | ---                              |
| 704R Other                                | 273,000.00                      | 349,837.29                             | -            | 349,837.29                             | 128.15%                          |
| <b>Total Resources Available</b>          | <b>118,332,371.00</b>           | <b>118,972,537.07</b>                  |              | <b>118,972,537.07</b>                  | <b>100.54%</b>                   |
| <b>Funds Expended</b>                     |                                 |  |              |  |                                  |
| 101 Personal Services-Salaries            | 102,796,698.00                  | 98,263,542.54                          | -            | 98,263,542.54                          | 95.59%                           |
| 202 Personal Travel (In State)            | 267,710.00                      | 238,467.47                             | -            | 238,467.47                             | 89.08%                           |
| 203 State Vehicle Operation               | 303,666.00                      | 352,091.52                             | -            | 352,091.52                             | 115.95%                          |
| 204 Depreciation                          | -                               | -                                      | -            | -                                      | ---                              |
| 205 Personal Travel (Out of State)        | 36,602.00                       | 45,333.62                              | -            | 45,333.62                              | 123.86%                          |
| 301 Office Supplies                       | 297,149.00                      | 281,021.96                             | -            | 281,021.96                             | 94.57%                           |
| 302 Facility Maintenance Supplies         | 221,122.00                      | 195,943.53                             | -            | 195,943.53                             | 88.61%                           |
| 303 Equipment Maintenance Supplies        | -                               | -                                      | -            | -                                      | ---                              |
| 304 Professional & Scientific Supplies    | 380,044.00                      | 278,286.76                             | -            | 278,286.76                             | 73.22%                           |
| 306 Housing & Subsistence Supplies        | 302,400.00                      | 308,491.18                             | -            | 308,491.18                             | 102.01%                          |
| 307 Ag,Conservation & Horticulture Supply | -                               | -                                      | -            | -                                      | ---                              |
| 308 Other Supplies                        | 66,675.00                       | 152,468.39                             | -            | 152,468.39                             | 228.67%                          |
| 309 Printing & Binding                    | -                               | -                                      | -            | -                                      | ---                              |
| 310 Drugs & Biologicals                   | -                               | -                                      | -            | -                                      | ---                              |
| 311 Food                                  | 2,236,848.00                    | 2,119,640.08                           | -            | 2,119,640.08                           | 94.76%                           |
| 312 Uniforms & Related Items              | 2,000.00                        | 3,940.44                               | -            | 3,940.44                               | 197.02%                          |
| 313 Postage                               | -                               | -                                      | -            | -                                      | ---                              |
| 401 Communications                        | 785,719.00                      | 572,730.37                             | -            | 572,730.37                             | 72.89%                           |
| 402 Rentals                               | 765,794.00                      | 713,229.30                             | -            | 713,229.30                             | 93.14%                           |
| 403 Utilities                             | 1,403,278.00                    | 1,361,571.34                           | -            | 1,361,571.34                           | 97.03%                           |
| 405 Professional & Scientific Services    | 3,091,880.00                    | 2,711,002.04                           | -            | 2,711,002.04                           | 87.68%                           |
| 406 Outside Services                      | 404,039.00                      | 367,583.97                             | -            | 367,583.97                             | 90.98%                           |
| 407 Intra-State Transfers                 | -                               | -                                      | -            | -                                      | ---                              |
| 408 Advertising & Publicity               | 7,825.00                        | 8,469.99                               | -            | 8,469.99                               | 108.24%                          |
| 409 Outside Repairs/Service               | 1,182,478.00                    | 1,353,979.81                           | -            | 1,353,979.81                           | 114.50%                          |
| 412 Auditor of State Reimbursements       | 600.00                          | 407.25                                 | -            | 407.25                                 | 67.88%                           |
| 414 Reimbursement to Other Agencies       | 624,903.00                      | 620,452.43                             | -            | 620,452.43                             | 99.29%                           |
| 416 ITS Reimbursements                    | 292,516.00                      | 264,708.94                             | -            | 264,708.94                             | 90.49%                           |
| 417 Worker's Compensation                 | 8,500.00                        | 8,382.00                               | -            | 8,382.00                               | 98.61%                           |
| 418 IT Outside Services                   | -                               | -                                      | -            | -                                      | ---                              |
| 434 Transfers - Other Agencies Services   | -                               | -                                      | -            | -                                      | ---                              |
| 501 Equipment                             | 435,241.00                      | 833,398.18                             | -            | 833,398.18                             | 191.48%                          |
| 502 Office Equipment                      | 10,000.00                       | 62,476.03                              | -            | 62,476.03                              | 624.76%                          |
| 503 Equipment - Non-Inventory             | 256,925.00                      | 324,903.36                             | -            | 324,903.36                             | 126.46%                          |
| 510 IT Equipment                          | 691,554.00                      | 897,814.59                             | -            | 897,814.59                             | 129.83%                          |
| 601 Claims                                | -                               | -                                      | -            | -                                      | ---                              |
| 602 Other Expense & Obligations           | 517,702.00                      | 565,782.13                             | -            | 565,782.13                             | 109.29%                          |
| 609 Bonds, Credit Union, Deferred Comp    | -                               | -                                      | -            | -                                      | ---                              |
| 705 Refunds-Other                         | -                               | -                                      | -            | -                                      | ---                              |
| 901 Capitals                              | 8,000.00                        | 1,867.46                               | -            | 1,867.46                               | 23.34%                           |
| 91B Balance Carry Forward                 | 934,503.00                      | 6,064,550.39                           | -            | 6,064,550.39                           | 648.96%                          |
| --- Reversion                             | -                               | -                                      | -            | -                                      | ---                              |
| <b>Total Expenses</b>                     | <b>118,332,371.00</b>           | <b>118,972,537.07</b>                  |              | <b>118,972,537.07</b>                  | <b>100.54%</b>                   |
| <b>Ending Balance</b>                     |                                 |  |              |  |                                  |

## Prison Average Daily Cost

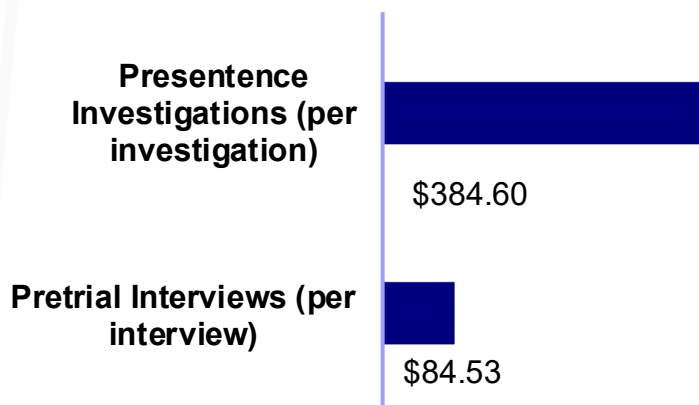


This represents an increase of \$.08 average daily cost (less than 0.1%) from FY2018.

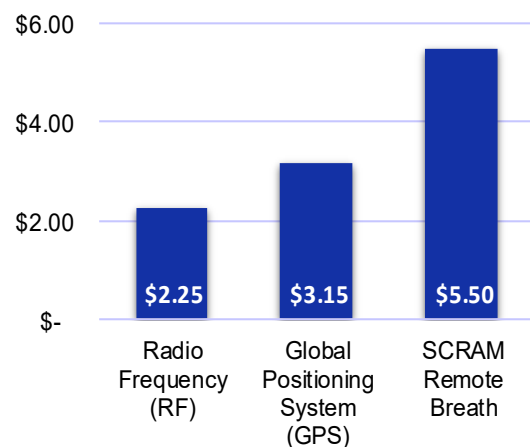
## CBC Average daily cost



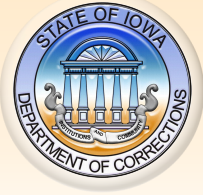
## CBC PER OCCURANCE COSTS



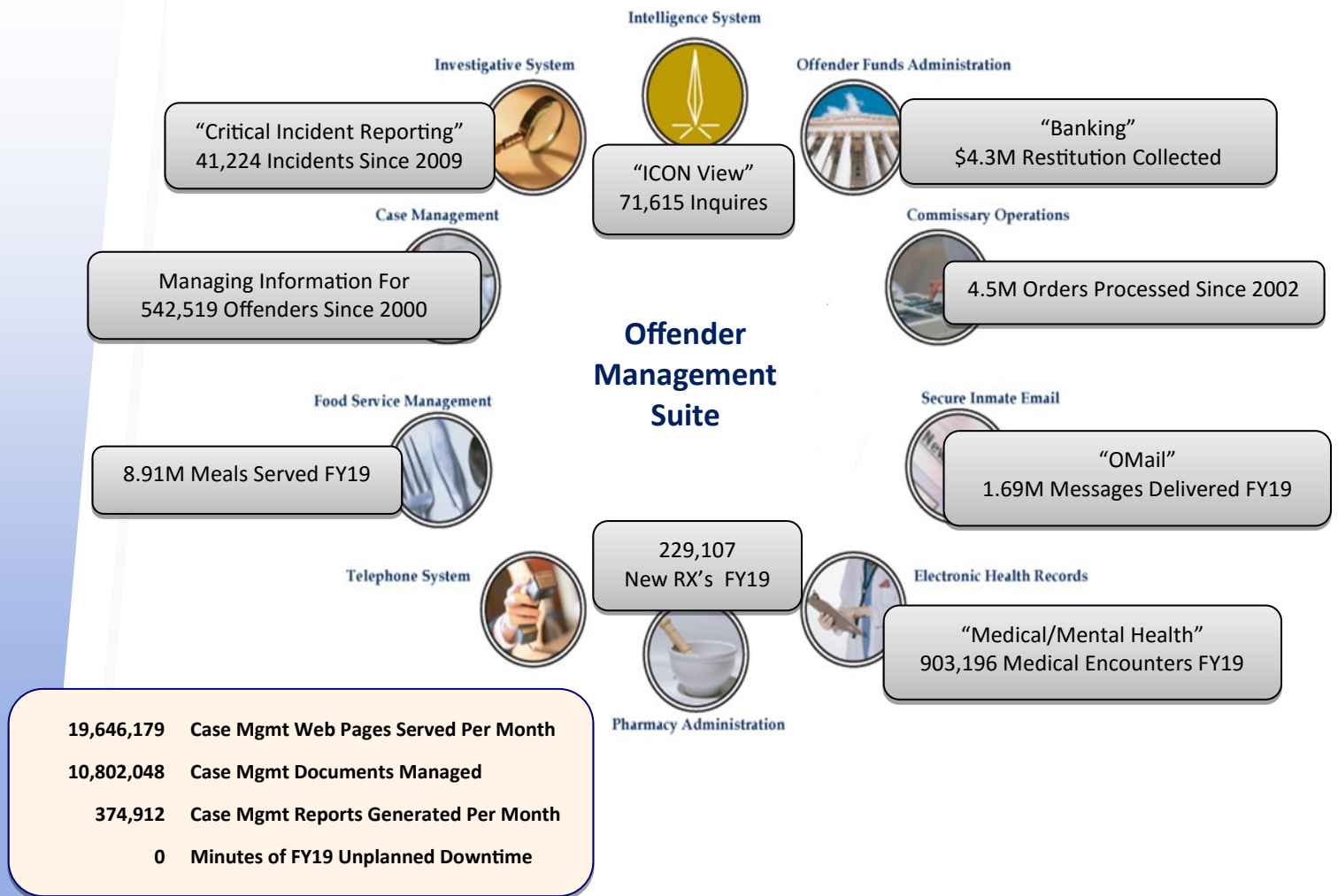
## Daily Electronic Monitoring Cost







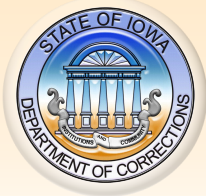
## Iowa Corrections Offender Network (ICON)



### Data Sharing Initiatives

#### Federal, State and Local Agencies

|                                       |                            |  |
|---------------------------------------|----------------------------|--|
| Courts (CJIS)                         | VINE Victim Notification   | National Corrections Reporting Program |
| County Attorneys (CJIS)               | DHS Child Care Assistance  | Internal Revenue Service               |
| DPS Sex Offender Registry (CJIS)      | DHS Child Support Recovery | Social Security Administration         |
| DPS Rapsheet Records (CJIS)           | DHS Family Investment      | N-DEX FBI National Data Exchange       |
| DPS LiveScan Fingerprints             | DHS Iowa Food Assistance   | Immigration Services                   |
| Law Enforcement Officer Photo (CJIS)  | DHS Medicaid Fraud         | Data Warehouse                         |
| NLETS National Law Enforcement (CJIS) | Board of Parole            | Statewide Crime Code Table (CJIS)      |



## Iowa Corrections Offender Network (ICON)-Continued

### Deployment Dates

|      |   |
|------|---|
| 1999 | Offender Funds Administration (Banking) |
| 1999 | Commissary Operations                   |
| 2000 | Case Management – Community             |
| 2002 | Electronic Health Records               |
| 2003 | Pharmacy Administration                 |
| 2004 | Case Management – Prisons               |
| 2007 | Food Service Management                 |
| 2008 | Investigative System (CIRs)             |
| 2009 | Intelligence System (ICON View)         |
| 2010 | Secure Inmate Email (OMail)             |
| 2012 | Case Management – PDA Integration       |
| 2013 | Board of Parole Integration             |
| 2017 | ICON Dashboard                          |

### Active Offenders By Supervision

|        |                                   |
|--------|-----------------------------------|
| 28,456 | Probation                         |
| 8,697  | Prison                            |
| 5,656  | Parole                            |
| 2,476  | Pretrial Release With Supervision |
| 1,488  | Special Sentence                  |
| 1,077  | Interstate Compact Probation      |
| 776    | Work Release                      |
| 332    | Interstate Compact Parole         |
| 224    | Federal                           |
| 139    | OWI Continuum                     |
| 34     | Prison Safekeeper                 |
| 26     | Prison Compact                    |
| 27     | Other                             |

### Outside Federal, State and Local Agencies with System Access

Governor's Office  
 Public Safety  
 Human Services (Child Support Recovery Unit)  
 Attorney General  
 Board of Parole  
 Local Sheriff and Police Departments  
 Immigration and Customs Enforcement  
 Federal Probation/Parole Offices  
 Citizen's Aide/Ombudsman  
 Criminal and Juvenile Justice Planning  
 Inspection and Appeals  
 Insurance Fraud Bureau  
 Vocational Rehabilitation Services

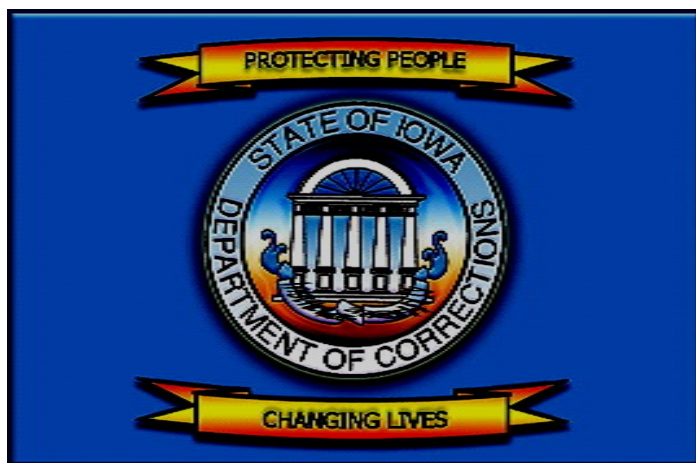
|  |   |   |  |
|--|---|---|--|
| First Judicial District<br>314 East 6th Street<br>Waterloo, Iowa 50704<br>(319) 236-9626 | Third Judicial District<br>515 Water Street<br>Sioux City, Iowa 51103<br>(712) 252-0590         | Fifth Judicial District<br>1000 Washington Avenue<br>Des Moines, Iowa 50314<br>(515) 242-6600 | Seventh Judicial District<br>605 Main Street<br>Davenport, Iowa 52803<br>(563) 322-7986    |
| Second Judicial District<br>111 N. Sherman<br>Ames, IA 50010<br>(515) 232-1810           | Fourth Judicial District<br>801 South 10th Street<br>Council Bluffs, IA 51501<br>(712) 325-4943 | Sixth Judicial District<br>951 29th Avenue SW<br>Cedar Rapids, Iowa 52404<br>(319) 398-3675   | Eighth Judicial District<br>1805 West Jefferson<br>Fairfield, Iowa 52556<br>(641) 472-4242 |

## 2019 ANNUAL REPORT

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*Cord Overton 515-725-5707*  
*Johana Herdrich 515-725-0027*

**Mission:**  
**Creating Opportunities**  
**for Safer Communities**



|  |   |   |
|--|---|---|
| Anamosa State Penitentiary<br>406 North High Street<br>Anamosa, Iowa 52205<br>(319) 462-3504       | Iowa Correctional Institution for Women<br>300 Elm Avenue SW<br>Mitchellville, Iowa 50169<br>(515) 967-4236         | Iowa State Penitentiary<br>3 John Bennett Drive<br>Fort Madison, Iowa 52627<br>(319) 372-5432           |
| Clarinda Correctional Facility<br>2000 North 16th Street<br>Clarinda, Iowa 51632<br>(712) 542-5634 | Iowa Medical and Classification Center<br>2700 Coral Ridge Avenue<br>Coralville, Iowa 52241<br>(319) 626-2391       | Newton Correctional Facility<br>307 South 60th Avenue, W<br>Newton, Iowa 50208<br>(641) 792-7552        |
| Fort Dodge Correctional Facility<br>1550 L Street<br>Fort Dodge, Iowa 50501<br>(515) 574-4700      | Mount Pleasant Correctional Facility<br>1200 East Washington Street<br>Mount Pleasant, Iowa 52641<br>(319) 385-9511 | North Central Correctional Facility<br>313 Lanedale<br>Rockwell City, Iowa 50579-7464<br>(712) 297-7521 |